		U.S. Patent and Tra	PTO/SB/21 ved for use through 07/31/2006. OMB 0651-00 ademark Office; U.S. DEPARTMENT OF COM					
Ander the Paperwork Reduction Act of 1995, no	persons are required	to respond to a collection of in Application Number	nformation unless it displays a valid OMB control 09/888,224					
TRANSMITT	ΔΙ	Filing Date	June 22, 2001					
	<b>~</b> L	First Named Inventor	Jay M. SHORT					
FORM		Art Unit						
(to be used for all correspondence after	initial filing)	Examiner Name	1634					
Total Number of Pages in This Submiss	sion 5	Attorney Docket Numb	E. Whisenant					
	CLOSURES	(Check all that app	ly)					
Fee Transmittal Form	Drawing(s)		After Allowance communication to Technology Center (TC)					
Fee Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After Final	Petition to Convert to a Provisional Application		Proprietary Information					
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter					
Extension of Time Request	Terminal Dis	claimer	Other Enclosure(s) (please Identify below):					
Express Abandonment Request	Request for	Refund	Form PTO-1449, 1 page					
X Information Disclosure Statement (3 pages)	CD, Number	of CD(s)	,					
Certified Copy of Priority Document(s)								
Response to Missing Parts/	Remarks	·· • · ·						
Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	CUSTOM	ER NO. 45975	5					
under 37 GFR 1.32 01 1.33								
SIGNATI	JRE OF APPLICA	ANT, ATTORNEY, OR	AGENT					
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dividual name Gregory P. Einhorn	-38,440							
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August 18, 2004	August 18, 2004							



## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August / 8, 2004.

Rhea Amid

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Jay M. SHORT et al.

Serial No.:

09/888,224

Filing Date:

June 22, 2001

For:

ENDOGLUCANASES, NUCLEIC

ACIDS ENCODING THEM AND

METHODS FOR MAKING AND USING

THEM (AS AMENDED)

Examiner: E. Whisenant

Group Art Unit: 1634

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/914,543, filed January 17, 2002, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R.

in the application. This Information Disclosure Statement is submitted: With the application; accordingly, no fee or separate requirements are required.  $\boxtimes$ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided. Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided. After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of is enclosed.  $\Box$ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. П A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of is enclosed. A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal

§1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

form (PTO/SB/17 is attached to this submission in duplicate.)

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 564462000520. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 18, 2004

Respectfully submitted,

By: Gregory P. Einhorn

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3811 Valley Centre Drive

Suite 500

San Diego, California 92130-2332

Telephone: (858) 720-5133 Facsimile: (858) 720-5125

							Sheet I of I			
Form PTO-1449			Docket Number 5644	62000520	Application Number 09/888,224					
INFORMATION DISCLOSURE CITATION  N AN APPLICATION			Applicant	Applicant  Jay M. SHORT et al.						
AUG 2 3 2004 E Use several sheets if necessary)			Filing Date June 22, 2	Filing Date June 22, 2001		Group Art Unit 1634				
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U.S. PATENT DOCUMENTS										
Examiner	Ref.	Date	Document No.	Name	Class	Subclass	Filing Date If			
Initials	No.	04/0000	6.060.044	D I'			Appropriate			
	1.	04/2002	6,368,844	Bylina	435	207				
	2.	10/2002	2002/0155550 A1	Bylina et al.	435	105				
	3.	04/2003	2003/0078397 A1	Short et al.	536	23.1				
FOREIGN PATENT DOCUMENTS										
Examiner	Ref.	Date	Document No.	Country	Class	Subclass	Translation			
Initials	No.						YES NO			
OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)										
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EXAMIN	EXAMINER: DATE CONSIDERED:									
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in										

conformance and not considered. Include a copy of this form with next communication to applicant.